

	Application Number	09/825304
	Filing Date	04/04/2001
	First Named Inventor	Hemant Agrawal et al.
	Group Art Unit	2661
	Examiner Name	
Total Number of Pages in this Submission	Attorney Docket Number	2000-0227

## Enclosures (check all that apply)

- ☐ Fee Transmittal Form  
☐ Fee Attached  
☐ Amendment / Response  
☐ After Final  
☐ Affidavits / Declaration(s)  
☐ Extension of Time Request  
☐ Express Abandonment Request  
☒ Information Disclosure Statement  
☐ Certified Copy of Priority Document(s)  
☐ Response to Missing Parts under 37 CFR 1.52 or 1.53  
☐ Response to Missing Parts/Incomplete Application

- ☐ Assignment & Recordation Cover Sheet  
☐ Drawing(s) & Letter to Official Draftsman  
☐ Licensing-related Papers  
☐ Petition to the Commissioner  
☐ Petition to Convert a Provisional Application  
☐ Power of Attorney, Revocation Change of Correspondence Address  
☐ Terminal Disclaimer  
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- ☐ After Allowance Communication to Group  
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IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

266/Hy  
S-I  
04-24-02

**PATENT APPLICATION**

Inventor(s): Hemant Agrawal et al.

Docket No.: 2000-0227

Application No.: 09/825304

Filing Date: 04/04/2001

Examiner Name:

Group Art Unit: 2661

Title: Method And Apparatus For S.I.P/H. 323 Interworking

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**THE COMMISSIONER FOR PATENTS  
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**SIR:**

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08A. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56(b).



1. This information disclosure statement is being filed within three months of the filing date of a national application other than a continued prosecution application under §1.53(d); within three months of the date of the entry of the national stage as set forth in §1.491 in an international application; before the mailing of a first Office action on the merits; or before the mailing of a first Office action after the filing of a request for continued examination under §1.114. No certification or fee is required. (37 C.F.R. §1.97(b)).



2. This information disclosure statement is being filed more than three months after the filing date of a national application other than a continued prosecution application under §1.53(d); more than three months after the date of the entry of the national stage as set forth in §1.491 in an international application, after the mailing date of a first Office action on the merits, or after the mailing of a first Office action after the filing of a request for continued examination under §1.114, but before the mailing date of any of a Final action under §1.113, a Notice of Allowance under §1.311 or an action that otherwise closes prosecution in the application. (37 C.F.R. §1.97(c)).

- ☒ a. I hereby certify that each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement. (37 C.F.R. §1.97 (e)(1)).
- ☐ b. I hereby certify that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and to my knowledge after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement. (37 C.F.R. §1.97 (e)(2)).
- ☐ c. Please debit Deposit Account No. 01-2745 in the amount of \$180.00 to cover the fee under 37 C.F.R. §1.17(p) and to ensure consideration of the disclosed information.
- ☐ 3. This information disclosure statement is being filed after the mailing date of any of a Final action under §1.113, a Notice of Allowance under §1.311, or an action which otherwise closes prosecution, whichever occurs first, but on or before payment of the Issue Fee. (37 C.F.R. §1.97(d)).
- ☐ a. I hereby certify that each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement. (37 C.F.R. §1.97(e)(1)).
- ☐ b. I hereby certify that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement. (37 C.F.R. §1.97(e)(2)).
- ☐ c. Please debit Deposit Account No. 01-2745 in the amount of \$180.00 to cover the fee under 37 C.F.R. §1.17(p) and ensure consideration of the disclosed information.

Respectfully,

Date: 4/12/02

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By: Michele L. Conover

Michele L. Conover, Attorney
Reg. No.: 34962
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[illegible]

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T*
		Office <sup>3</sup>	Number (if known)	Kind Code <sup>2</sup>				
			EP 1 143 683 A		Siemens Information and Communications Networks Inc.	10/10/2001	Page 4, column 5, line 2-51; claims 1-19. Relevant to claims 1,2,5,6,8,9,12- 14,16,18	<input type="checkbox"/>
								<input type="checkbox"/>
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Examiner Signature						Date Considered		

\*EXAMINER: Initial if referenced considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents <sup>3</sup>Enter Office that issued the document, by the two letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

Substitute for form 449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)		<b>Complete If Known</b>	
Application Number		09/825304	
Filing Date		04/04/2001	
First Named Inventor		Hemant Agrawal et al.	
Group Art Unit		2661	
Examiner Name			
Sheet	of	Attorney Docket Number 2000-0227	

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	AA	SINGH K., SCHULZRINNE H.: "Interworking Between SIP/SDP and H.323" IETF INTERNET-DRAFT, January 2000 (2000-01), pages 1-39, Relevant to claims 1,2,5,6,8,9,12-14,16,18. XP002189117 cited in the application page 5, paragraph 5 -page 23, paragraph 6.2. Relevant to claim 3,4,7,10,11,15,17,19,20.	
	AB	SINGH K., SCHULZRINNE: "Internetworking Between SIP/SDP and H.323" PROCEEDINGS OF 1 <sup>ST</sup> IP-TELEPHONY WORKSHOP IPTEL 2000, April 12 - 13, 2000, Berlin, page 3, right-hand column, paragraph III, page 8, right-hand column, paragraph VIII. Relevant to claims 1,2,5,6,8,9,12-14,16,18.	
	AC	HUITEMA C ET AL: "AN ARCHITECTURE FOR RESIDENTIAL INTERNET TELEPHONY SERVICE" IEEE NETWORK, IEEE INC. NEW YORK, US vol. 13, no. 3, May 1999 (1999-05), pages 50-56, XP000870631, ISSN: 0890-8044, page 52, left-hand column, line 15, page 56, left-hand column, line 30. Relevant to claims 14 and 16.	<input type="checkbox"/>
	AD	PCT Search Report dated February 4, 2002, regarding International Application No. PCT/US01/11451	<input type="checkbox"/>
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